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TRANSMITTAL FORM  (to be used for all correspondence after initial	filing)	Application Number Filing Date First Named Inventor Art Unit Examiner Name	3/25	6/9,923 6/2004 arles Edwin Taylor 3
Total Number of Pages in This Submission	N/A	Attorney Docket Number	SHF	PR-01361USK
	ENC	LOSURES (Check all th	hat apply)	
Fee Transmittal Form Fee Attached Amendment/Reply After Final		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation		After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information

	Amendme Af Af Extension Express A Informatio (28) Cited Documen Response Incomplet	fter Final  ffidavits/declaration(s)  n of Time Request  Abandonment Request  on Disclosure Statement	Rem	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	Po	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  St Card	
		SIGNA	TURE	OF APPLICANT, ATTORNEY,	OR AG	ENT	
Firm or Individ Signate	ual name ure	Joseph P. O'Mal Fliesler Meyer LL 2-2-0 4	•			stomer Number: 23910 g. No.: 36,226	
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## CERTIFICATE OF TRANSMISSION/MAILING

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Application Inventor:

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Taylor et al. 10/809,923

Confirm. No.: 6758

Filed:

Appl. No.:

March 25, 2004

Title: AIR TRANSPORTER-CONDITIONER WITH

PARTICULATE DETECTION

**PATENT APPLICATION** 

Art Unit:

3753

Examiner:

Customer No. 23910

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

#### Enclosed with this statement are the following:

- <u>X</u> Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- $\mathbf{X}$ The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/ idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/ idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Additionally, copies of cited foreign patent documents and non-patent literature, items marked with an asterisk(\*), are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required. The nonasterisked items were previously submitted in an Information Disclosure Statement by applicant in a parent application (see legend at end of Form PTO-1449), from which benefit under 35 U.S.C. §120 is claimed, which Information Disclosure Statement complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/ idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Additionally, all cited foreign patent documents and non-patent literature are not enclosed because they were previously submitted in an Information Disclosure Statement by applicant in a parent application (see legend at end of Form PTO-1449), from which benefit under 35 U.S.C. §120 is claimed, which Information Disclosure Statement complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1). The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed. The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed, marked by an asterisk (\*). The items not asterisked were previously submitted by applicant in a parent application (see Legend at end of Form PTO-1449). from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3). PTA Statement under 37 CFR §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement. This statement should be considered because:  $\mathbf{X}$ 37 CFR §1.97(b). This statement qualifies under 37 CFR §1.97, subsection (b) because: (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d); -- OR --(2) It is being filed within 3 months of entry of a national stage; -- OR --(3) It is being filed before the mailing date of the first Office Action on the merits. -- OR --(4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114. 37 CFR §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 CFR §1.97, subsection (c) because:

		(1)	Allowa	eing filed before ance, or an ac ation, whichever	ction tha	t otherwise			-	
				AN	D (check	at least one o	of the fo	llowing)		
			(1)	It is accompan		STATEMEN	T as set	forth in 37	7 CFR §1.9	7(e).
		_	(2)	It is accompan		e \$180 fee se	t forth i	n 37 CFR {	§1.17(p).	
	_			( <b>d</b> ). Although it 37 CFR §1.97,				ction (b) or	(c), this s	tatement
		(1)	It is be	ing filed on or b		ment of the i	issue fee	<b>;</b> ;		
		(2)	It is ac	companied by a	STATE	MENT as set	forth in	37 CFR §	1.97(e);	
		(3)	It is ac	companied by the		ee set forth in	37 CF	R §1.17(p)	•	
<u>X</u>		nal fees		The Commission t any overpayment						
					Respec	tfully submit	ted,			
Date:_			- 7	12/04	Ву:	Joseph P. O	'Malley			
						Reg No 36	-			

Customer No. 23910 Fliesler Meyer LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 415/362-3800 Telephone FORM PTO-1449 (Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attomey	Docket	Number	
	SHPR	-0136	1USK

Serial No. 10/809,923

Applicant

Taylor et al.

## INFORMATION DISCLOSURE CITATION BY APPLICANT

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Serial No. 10/809,923

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US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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Attorney Docket Number
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Serial No.

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